Qualifying Regulatory Provisions Summary Reporting Template

Regulator: OFFICE FOR NUCLEAR REGULATION (ONR)

Measure	Description	BIT score
	ONR has submitted 22 QRP impact assessments to the RPC. The measures comprise primarily of new, or substantially revised guidance for ONR inspectors. For openness and transparency the guidance is published on the ONR website. It is available for dutyholders to reference as a source of guidance and good practice so that they know what to expect when they are inspected. All assessments validated by the RPC have been published on a BIT page on the ONR website.	0

Non-qualifying Regulatory Provisions Summary Reporting Template

Regulator: OFFICE FOR NUCLEAR REGULATION (ONR)

Business Impact Target Reporting Period Covered: Excluded Category*	Summary of measure(s), including any impact data where available**
A – EU and International	None of the changes of European/International origin place additional burdens on business beyond those required under legislation of EU or international origin (i.e. no gold-plating has occurred) ONR produced new guidance entitled '*2015 Regulation change relating to shielding design in package design safety reports*' as a result of changes to the International Atomic Energy Agency transport regulations which flow down into GB legislation. The guidance communicates ONR's expectations with regards to package designs, renewals and modifications for self-approved and competent authority approved packages which have now been incorporated in GB and UK law.
B – Economic Regulation	*Nil response*
C – Price Control	*Nil response*
D - Civil Emergencies	*Nil response*
E – Fines and Penalties	*Nil response*
F – Pro-Competition	*Nil response*
G – Large Infrastructure projects	*Nil response*
H – Misuse of Drugs/National Minimum	*Nil response*

Wage	
I – Systemic Financial Risk	*Nil response*
K – Industry Codes	*Nil response*
L1 – Casework	No activities listed in this section represent a change in the burden of regulation placed on business, except where these result from a separate qualifying regulatory provision that has been assessed. The performance data covers the period 8 May 2015 - 31 March 2017 to align more closely with ONR's reporting cycle. Further information can be found in ONR's Annual Report and Accounts. During the reporting period ONR: • completed 1450 interventions, issued 8 Improvement Notices, and 2 Specifications. There were no Prohibition Notices or prosecutions • completed assessment of over 170 dutyholder submissions (safety cases) against our established guidance and procedures. This resulted in the production of 157 published Project Assessment Reports • undertook over 2000 licence condition compliance inspections on nuclear licenced sites in accordance with site specific regulatory intervention plans

| **L2 – Education, communications and promotion** | None of the material produced creates a new regulatory standard that businesses will be expected to follow and attendance at educational and promotional events is not compulsory.

During this reporting period, ONR dealt with over 842 general enquiries and 154 FOIs/EIRs. A new system was introduced in June 2016 for categorising the number of concerns (25); external whistleblowing (5) and complaints (5).

In 2015, ONR published a five year strategic plan which was updated in 2016 to provide a more comprehensive view of ONR's intentions for the next four years to 2020. The strategic plan provides greater detail around the factors that influence our work, the assumptions we made about the nuclear environment going forward and how we intend to deliver on the commitments made to our key stakeholder groups. Itis supported by annual corporate plans which set out how we will achieve our short term objectives against each of our strategic themes set by the Board, to enable us to deliver our 2020 strategic intent. The next ONR corporate plan is due to be published in June 2017.

Each year, our published Annual Report and Accounts summarise our operational and financial performance. The report also includes the Chief Nuclear Inspector's annual statement, providing an update on the safety and security of the nuclear industry.

In addition, ONR published:
• Chief Nuclear Inspector's summary programme plan 2016/17 (June 2016) and 2015/16 (May 2015)
• 268 quarterly reports to local stakeholder groups
• Eight quarterly incident statements, providing openness and transparency on incidents that occur at civil nuclear installations which meet ONR's publication criteria
• 1574 Project Assessment Reports outlining our regulatory decisions
• More than 01450 Intervention records summarising our inspection activities |
|---|---|

	14 e:bulletins providing sector specific information and general ONR updates to 16,000 registered members 12 e:bulletins providing nuclear new build updates to around 13,000 registered members - Four stakeholder publications providing sector specific information and general ONR updates - Events reported to Nuclear Safety Regulator 2001-2015 (February 2016) - first ONR report to provide a consolidated and comprehensive overview of all of the safety events reported, both minor (representing the large majority, 3857 events) and the few that are of more nuclear safety significance (nine events), between 1 April 2001 and 31 March 2015. - Six progress reports on Generic Design Assessment of new reactor designs - A report on progress implementing the lessons learnt from the Fukushima accident ONR has engaged with a broad range of stakeholders through interventions such as: - Attending quarterly site stakeholder group meetings in the vicinity of each nuclear licensed site - Holding two industry conferences with key representatives of government, industry, regulators and academia - Holding two engagement meetings with non-government organisations - Responding to more than 200 enquiries from a broad range of national and international media.
L3 – Activity related to policy development •	ONR did not carry out any public consultations during the reporting period. However, it sought views on ONR's responsiveness to innovation in January 2016. Views were sought on transport and security assessment principles (SyAps) which as a QRP a BIT assessment was validated by the RPC in March 2017. During the reporting period ONR consulted industry on 20 International Atomic Energy Agency (IAEA) draft safety standards. The standards provide a robust framework of fundamental principles, requirements and guidance to ensure safety set at the

	international level. In liaison with Government and industry, ONR presented the UK position on these safety standards to the IAEA following this consultation. We have played an active role in the UK Nuclear Industry Safety Directors' Forum (SDF), of which the stated objectives relevant to the ONR interface are to identify key strategic safety and security issues facing industry, identify appropriate industry response, work with regulators to agree common goals and priorities in pursuit of excellence and facilitate sharing of regulatory developments and responses to them. Specifically we attended both SDF Regulators' Forum meetings (Summer and Winter 2015 and 2016) along with other nuclear industry regulators, the Nuclear Decommissioning Authority and representatives from DECC/BEIS. In addition to providing routine regulatory updates, ONR also used these meetings to discuss concepts of efficient and effective regulation to influencing the delivery of strategic safety and security improvements. The SDF also generates and promulgates 'Good Practice Guides' on a number of nuclear safety and security matters which ONR has provided a regulatory input to but does not own.
L4 – Changes to management of regulator	A new Chief Executive was appointed in January 2016, followed by a new Chief Nuclear Inspector in March 2016. During 2016 ONR appointed more diverse senior teams, in particular at Board, Executive Management Team and Regulatory Team level, establishing a greater degree of stability. This has provided opportunity for new insights and perspectives to be fed into significant review of our regulatory focus, corporate governance, organisational design, estate and IT infrastructure, cost base and strategic risks. The ONR budget has increased to £81.1m gross in 2017/18 (£70.8m gross 2016/17). This is to reflect the material demand led growth as well as risk mitigation and modernisation activity, research investment and developing safeguards capability. We appreciate the need to offer value for money to licensees, dutyholders and Government and are working to enhance transparency and forecasting to help payees plan with greater certainty about regulatory activity.

| | Furthermore, ONR's new Integrated Audit and Assurance Framework (1 April 2017) reflects HMT's Assurance Frameworks following on from our review of assurance during 2016/17 and introduces greater rigour and consistency in our approach.

Recruitment:
Demographic challenges and new demands on ONR from a growing new nuclear sector has required ONR to grow its specialist workforce. In order to broaden our recruitment pipeline we have implemented new approaches such as employing graduates, associates and individuals undertaking an equivalence route (who come from a non-nuclear sector) this has assisted us in increasing our numbers to meet the demand. Between May 2015 to 31 May 2017, 100 technical specialists have been recruited. This is coupled with a review of how we induct and train our new recruits as well as how we improve our capture of knowledge management for those leaving the organisation. |

*Delete categories that do not apply in the reporting period

** For certain excluded categories - such as A, B, C, F and I, it is good practice to provide narrative description of any significant measures. This will help provide greater transparency and assurance that BIT exclusions are being applied consistently and appropriately